

From last time, we have two questions. First, why is the dimension of a motive well defined? Second, how does it behave under  $\otimes, \oplus$ ? We answer the 2<sup>nd</sup> first.

## Direct Sum

Prop: Let  $M, N$  be motives. Then if  $M \mp N$  are evenly (oddly) f.d., then so is  $M \oplus N$ .  
If  $M, N$  are f.d., then  $\dim M \oplus N \leq \dim M + \dim N$ .

Proof: Suppose  $\dim_+ M = m, \dim_- N = n$ . Then we aim to show  $\Lambda^{n+m+1}(M \oplus N) = 0$ . By a direct computation shows:

$$\Lambda^{n+m+1}(M \oplus N) \cong \bigoplus_{\substack{r+s \\ = n+m+1}} \Lambda^r M \otimes \Lambda^s N = 0$$

by an index count. An identical argument proves the converse.  $\square$

## Tensor Product

Def: For  $\lambda$  a partition of  $n$ ,  $M = (X, \rho, m)$ , define  $\Pi_\lambda M = (X^n, d_\lambda(M) \circ \rho^n, nm)$ .

Lemma (Vanishing): Let  $g \geq n$  and  $\lambda$  a partition of  $g$ . Then

- 1)  $\text{Sym}^{n+1}(M) = 0 + \lambda_1 > n \Rightarrow \Pi_\lambda M = 0$
- 2)  $\Lambda^{n+1}(M) = 0 + \lambda_{n+1} \neq 0 \Rightarrow \Pi_\lambda M = 0$ .

Let  $T$  be the Young diagram according to  $\lambda$ . Define  $R_\lambda(T) = \{\sigma \in S_n \mid \sigma \text{ permutes only } \sigma \text{ elements in rows}\}$ ,  
 $C_\lambda(T) = \{\sigma \in S_n \mid \sigma \text{ permutes only } \sigma \text{ columns}\}$ .

Now define  $a_\lambda(T) = \sum_{\sigma \in R_\lambda(T)} \sigma$ ,  $b_\lambda(T) = \sum_{\sigma \in C_\lambda(T)} \text{sign}(\sigma) \sigma$ ,  $c_\lambda(T) = a_\lambda(T) b_\lambda(T)$ .

Recall from Rep. Theory:

- 1)  $V \in \text{Vect}_\mathbb{Q}$ , and  $S_n \subset V^{\otimes n}$  naturally. Then  $\text{Im}(a_\lambda(T)) = \text{Sym}^{\lambda_1}(V) \otimes \dots \otimes \text{Sym}^{\lambda_s}(V)$  and  $\text{Im}(b_\lambda(T)) = \Lambda^{\mu_1} V \otimes \dots \otimes \Lambda^{\mu_n} V$ .
- 2)  $c_\lambda(T) \circ c_\mu(T) = \eta_\lambda(T) c_\lambda(T)$ , for  $\eta_\lambda(T) \neq 0$ .
- 3)  $R_{S_n} c_\lambda(T)$  is an irred.  $R_{S_n}$ -module.
- 4)  $R_{S_n} c_\lambda(T) \cong R_{S_n} c_\mu(T) \Leftrightarrow \lambda = \mu$ .
- 5)  $e_\lambda$  is a linear combination of the  $c_\lambda(T)$ .
- 6)  $e_{(\lambda_1, \dots, \lambda_s)} \cdot (e_\lambda \otimes e_\mu) = \begin{cases} 0 & \text{if } \lambda \neq \mu^T \\ e_{(\lambda_1, \dots, \lambda_s)} & \text{else.} \end{cases}$

Now we have the following theorem:

Thm: Let  $M, N$  be f.d. motives. Then  $\dim M \otimes N \leq \dim M \cdot \dim N$ .

Proof: See Murre  $\square$ .

Now we have the following goal: We had defined  $\dim M = \dim_+ M_+ + \dim_- M_-$  for some decomposition  $M = M_+ \oplus M_-$ , yet we said nothing about this decomposition. We now aim to show it's well-defined.

Before we do this however, let's take a brief detour to setup some tools. Recall that if  $X$  is Sm. Proj./ $k$ , and  $Z \subset X$  is a cycle, then we say  $Z$  is smash nilpotent,  $Z \sim \circ 0$  if  $Z \sim_{\mathbb{Q}} \circ 0$  on  $X^n$  for some  $n \geq 1$ .

Now in  $\text{Mot}_n$ , we have  $\text{Hom}((X, p, m), (Y, q, n)) = q \circ \text{Corr}_n^{m \times n}(X, Y) \circ p$ , and the space of correspondences  $\text{Corr}_n(X, Y) = C_n^{\text{dtr}}(X \times Y, \mathbb{Q})$ , extended linearly. Since morphisms between motives are themselves cycles, we make the following definition:

Def: A morphism  $f: M \rightarrow N$  in  $\text{Mot}_n(k)$  is called smash-nilpotent if for some  $n \geq 1$ , the associated correspondence is smash-nilpotent w.r.t.  $\sim$  (may not be  $\sim_{\mathbb{Q}}$ !).

This means that if  $\Gamma_f$  is the correspondence for  $f$ ,  $\Gamma_f \times \dots \times \Gamma_f \sim 0$  in  $C_n(X^n \times Y^n)_{\mathbb{Q}}$ . This is exactly the same as  $f^{\otimes n} = f \times \dots \times f$  vanishing in the  $n$ th tensor product of motives. We have the following obvious lemma:

Lemma: Let  $f, g: M \rightarrow N$  be smash-nilpotent. Then so are  $f+g, f-g$ .

Proof: Let  $\Gamma_f, \Gamma_g$  be the associated correspondences. Then one checks that

$$(\Gamma_f + \Gamma_g)^n = \sum \binom{n}{r} \Gamma_f^r \times \Gamma_g^{n-r}$$

which can be made  $\sim 0$  for sufficiently large  $n$ . The other is similar.  $\square$

While this result was simple, the real focus of this detour is the following:

Theorem: Let  $f: M \rightarrow M$  be a smash-nilpotent morphism in  $\text{Mot}_n(k)$ . Then  $f^{(n)} = f \circ \dots \circ f = 0$ . That is, smash-nilpotence  $\Rightarrow$  nilpotence.

This in turn is implied by

Prop: Let  $f: M \rightarrow N$  in  $\text{Mot}_n(k)$  be smash nilpotent of order  $n$ , and let  $g_i: N \rightarrow M, i=1, \dots, n-1$  be morphisms. Then  $f \circ g_{n-1} \circ \dots \circ f \circ g_1 \circ f$  vanishes.

Clearly by taking  $N=M, g_i = \text{id}$  we recover the theorem above, so now we prove the proposition.

Proof: To illustrate how this is proven, consider just  $f \circ g \circ f$ .  $f^{\otimes 2} = 0$  Denote their correspondences by  $\Gamma_f, \Gamma_g$ . Then by definition, if  $M=(X, p, -)$  and  $N=(Y, q, -)$ ,  $f = q \circ \Gamma_f \circ p$  and  $g = p \circ \Gamma_g \circ q$ . Hence  $f \circ g \circ f = q \circ \Gamma_f \circ p \circ \Gamma_g \circ q \circ \Gamma_f \circ p \in \text{Corr}(X, X)$ . If we omit the projectors for a moment, and set  $\pi_{ijk}$  the projections from  $X \times Y \times X \times Y$ ,  $s_{ij}$  the projections from  $X \times Y \times X$ , and  $p_{ij}$  projections from  $X \times X \times Y$ , then consider the cycles:

$$\alpha = \pi_{123}^* (s_{12}^* \Gamma_f \cdot s_{23}^* \Gamma_f) (= ((\Gamma_f \times X) \cdot (X \times \Gamma_f)) \times Y) \in C_n(X \times Y \times X \times Y)$$

$$\beta = p_{23}^* \Gamma_f (= X \times \Gamma_f) \in C_n(X \times X \times Y).$$

Now  $\alpha \cdot \pi_{134}^*(\beta) = (\Gamma_f \times X \times Y) \cdot (X \times \Gamma_f \times Y) \cdot (X \times Y \times \Gamma_f) = (\Gamma_f \times \Gamma_f) \cdot (X \times \Gamma_f \times Y) = 0$  as  $\Gamma_f \times \Gamma_f = 0$ .

Now use the projection formula:  $\circ = (\pi_{134})_* (\alpha \cdot \pi_{134}^* (\beta)) = (\pi_{134})_* \alpha \cdot \beta$  and note that since  $\pi_{134} = S_3 \times \text{id}_4$ ,  $(\pi_{134})_*(\alpha) = \pi_{123}^* (\Gamma_g \circ \Gamma_f) = (\Gamma_g \circ \Gamma_f) \times Y$ . Then on  $X \times X \times Y$ , we have:

$$(\pi_{134})_* \alpha \cdot \beta = \{(\Gamma_g \circ \Gamma_f) \times Y\} \cdot (X \times \Gamma_f) = 0.$$

finally, apply  $(S_{13})_*$  to the above ( $\pi_{134} = S_3 \times \text{id}_4$ ) to get  $\Gamma_f \circ \Gamma_g \circ \Gamma_f = 0$ .  $\square$

Now let us turn back to the finite dimensionality of motives, we begin with a crucial result.

Prop: Let  $M, N$  be two f.d. motives of different parity ( $M$  evenly,  $N$  oddly for example), and  $f: M \rightarrow N$ . Then  $f$  is smash nilpotent,  $f^{\otimes \lambda} = 0$  if  $\lambda > \dim M \cdot \dim N$ .

Proof: Set  $m = \dim M$ ,  $n = \dim N$ , and  $\lambda > m \cdot n$ . Let  $\lambda, \mu$  be two partitions of  $\lambda$ , and consider the composition:

$$M^{\otimes \lambda} \xrightarrow{d_\lambda} M^{\otimes \lambda} \xrightarrow{f^{\otimes \lambda}} N^{\otimes \lambda} \xrightarrow{d_\mu} N^{\otimes \lambda}.$$

where  $d_\lambda = \Gamma_{e_\lambda}$  is the graph of the idempotent  $e_\lambda$  on  $(X \times Y)^\lambda$ . Recall further that the projectors  $d_\lambda$  commute with morphisms, so the map above is equal to  $f^{\otimes \lambda} \circ d_\lambda \circ d_\mu$ . Since  $e_\lambda \cdot e_\mu = 0$  if  $\lambda \neq \mu$ , we see that we get

$$f^{\otimes \lambda} d_\lambda d_\mu = \begin{cases} 0 & \lambda \neq \mu \\ f^{\otimes \lambda} d_\lambda & \text{else.} \end{cases} \quad (\text{as } d_\lambda \text{ are idempotents}).$$

Hence its enough to show the above composition vanishes for  $\lambda = nm+1$  and  $\lambda = \mu$ . Now suppose (for example) that  $\Lambda^{m+1} M = 0$  any  $\text{Sym}^{n+1} N = 0$ . Then the vanishing lemma proves the claim.  $\square$

Corollary: Suppose  $M = (X, p, m)$  is both evenly and oddly f.d.. Then  $M = 0$ .

Proof: Apply the above to  $p$ .  $\square$

Now we can accomplish our goal.

Proposition: Let  $M = (X, p, m) \cong M_+ \oplus M_-$  be a f.d. motive. If  $M \cong M'_+ \oplus M'_-$  of even and odd f.d. motives, then  $M'_+ \cong M_+$  and  $M'_- \cong M_-$ .

Proof: Suppose we had two decompositions. Write  $p = p_+ + p_- = p'_+ + p'_-$ . Then  $p - p'_+$  is a projector which maps to  $M'_-$ , and hence  $(p - p'_+) \circ p_+$  is smash-nilpotent, but goes from  $X$  to  $X$ , so must be nilpotent. Noting that  $p = \text{id}_M$ :

$$((p - p'_+) \circ p_+)^n = (p_+ - p'_+ \circ p_+)^n = 0 \Rightarrow p_+ = p_+^n = \underbrace{f(p_+, p'_+)}_{\text{Some expression}} p'_+ \circ p_+$$

Since  $p'_+ \circ p_+ : M_+ \rightarrow M'_+$ , we must have  $f: M'_+ \rightarrow M_+$ , i.e., a section. But this implies that  $M'_+ = M_+ \oplus K$ , and so  $\dim M'_+ \geq \dim M_+$ . Proving the opposite equality shows  $\dim K = 0$ , but this implies that  $K = 0$ , so we're done.  $\square$

## Surjections in $\text{Mot}_\sim(k)$

Let us work with Chow motives for now.

Def: Let  $f: M \rightarrow N$  be a morphism of motives. Then  $f$  is surjective if for all smooth projective varieties  $Z$  the induced map  $\text{CH}(M \otimes \text{ch}(Z))_{\mathbb{Q}} \rightarrow \text{CH}(N \otimes \text{ch}(Z))_{\mathbb{Q}}$  is surjective.

Let me remind you that for  $M = (X, p, m)$ ,  $\text{CH}^i(M) = \text{Im}(p_*: \text{CH}^{i+m}(X)_{\mathbb{Q}} \rightarrow \text{CH}^i(X)_{\mathbb{Q}}) \cong \text{Hom}_{\text{Mot}}(\mathbb{L}^{\otimes i}, M)$ ,  $\mathbb{L} = (\text{Spec } k, \text{id}, -1)$ .

Example: Let  $\phi: X \rightarrow Y$  be a generically finite morphism of degree  $r$ . Then on motives, we have morphisms  $\phi_* \leftarrow \phi^*$  s.t.  $\phi_* \circ \phi^* = r \text{id}$ .  $\Rightarrow$  surjective.

Example: Consider the inverse of a blow-up  $X \xrightarrow{\phi} Y = \text{Bl}_p X$  of a sm. proj.  $X$  at a point. Then  $\text{CH}^1(Y) = \text{CH}^1(X) \oplus \mathbb{Z}[E]$ , and  $E \notin \text{Im } \phi_* \Rightarrow$  not surjective. In general dominant morphisms are surjective, but not dominant rational maps.

Lemma: Let  $f: (X, p, m) \rightarrow (Y, g, n)$  be a morphism. Then TFAE:

- i)  $f$  is surjective,
- ii)  $\exists$  a right inverse to  $f$ ,
- iii)  $g = f \circ s$  for some  $s \in \text{Corr}^0(Y, X)$ .

Theorem: Let  $f: M \rightarrow N$  be a surjective morphism of motives. If  $M$  is f.d., so is  $N$ .

Proof:

Step I: Suppose  $M$  is evenly (oddly) f.d. then the above lemma guarantees us a right inverse,  $g: N \rightarrow M$ , such that  $f \circ g = \text{id}_N$ . This induces a decomposition  $M = N \oplus K \Rightarrow N$  and  $K$  are evenly (oddly) f.d.

Step II: Write  $M = M_+ \oplus M_-$ . One needs to show existence of  $N = N_+ \oplus N_-$  such that  $M_+ \twoheadrightarrow N_+$  and  $M_- \twoheadrightarrow N_-$ . Since the degree doesn't matter in the definition, we may take degrees zero, and regard  $f$  as a correspondence. Using the above lemma and  $M$ 's decomposition, we get two endomorphisms  $g_{\pm}': N \rightarrow N$  ( $M = (X, p, 0)$ ,  $N = (Y, g, 0)$ ).

Step III: Show that there is a polynomial  $P(t)$  such that  $P(g_{\pm}')_i$  are (almost) projectors. We set  $g_{\pm}'' = g_{\pm}' \circ g_{\pm}'$ ,  $r_+ = P^k(g_+')$ ,  $r_- = P(g_-')$ .

Step IV: Show  $M_{\pm}$  surjects onto  $(Y, g_{\pm}, 0)$ .  $\square$

This implies the following:

Corollary:

- 1) If  $f: X \rightarrow Y$  is a dominant morphism with  $\text{ch}(X)$  f.d., then  $\text{ch}(Y)$  is also.
- 2)  $M \otimes N$  f.d.  $\Rightarrow$   $M$  and  $N$  are f.d.
- 3) A motive which is dominated by a morphism from a finite product of curves is f.d. In particular the motive of an abelian variety is f.d.
- 4) Every summand of a tensor product of curves is f.d. They form a full tensor subcategory.

Now we have the following theorem:

Thm: Let  $M = (X, p, m)$  and  $f: M \rightarrow M$  be a morphism of Chow motives. Assume  $M$  is evenly (oddly) finite dimensional. We have:

- 1) There exists a nonzero polynomial  $g(t) \in \mathbb{Q}[t]$  of degree  $n-1$  with  $g(f) = 0$ .
- 2) If  $f \sim_{\text{num}} 0$ , then  $g(t) = t^{n-1}$ .

Proof omitted.

## Applications and Conjectures

We have functors  $\text{Mot}_{\text{rat}} \rightarrow \text{Mot}_{\text{hom}} \rightarrow \text{Mot}_{\text{num}}$  taking  $(X, p, m) \rightarrow (X, p_{\text{hom}}, m) \rightarrow (X, p_{\text{num}}, m)$ . The first functor is not fully faithful, as if  $Z \in \mathbb{Z}^i(X)$  is a cycle, then  $Z$  is given by a morphism  $f: \mathbb{1}^{\otimes i} \rightarrow M$ . If  $Z \not\sim_{\text{rat}} 0$  but  $Z \sim_{\text{num}} 0$ , then this is an example. Explicitly on an elliptic curve  $E$ ,  $Z = a - b$  is not rationally zero, but is algebraically (hence homologically) zero.

Def: Let  $M$  be a chow motive and  $M_{\text{num}}$  be its image in  $\text{Mot}_{\text{num}}$ . If  $M_{\text{num}} = 0$  yet  $M \neq 0$ , we say  $M$  is a phantom motive.

Thm: If  $M$  is a f.d. chow motive, it is not a phantom motive.

Proof: Suppose it was. Then  $p_{\text{num}} \sim 0$ , which implies  $p_{\text{num}} \sim 0$ . Since  $M = M_+ \oplus M_-$ ,  $p = p_+ + p_-$  are each numerically trivial, so  $p_{\pm}^{n-1} = 0 \Rightarrow p_{\pm} = 0$ , hence  $M = 0$ .  $\square$

Recall that if  $H_+(M) = 0$  then  $H(\text{Sym}^n M) = \wedge^n H_-(M)$ , hence  $H(\text{Sym}^n M) = 0$  if  $n > \dim H(M)$ , and if  $H_-(M) = 0$  then  $H(\wedge^n M) = \wedge^n H_+(M)$ , hence  $H(\wedge^n M) = 0$  if  $n > \dim H(M)$ .

Corollary: If  $M$  is a f.d. motive,  $\dim M = \dim H(M)$ .

Proof: It's enough to see it for  $M$  evenly f.d., so set  $n = \dim M$  and note that since  $\wedge^n M \neq 0$ ,  $H(\wedge^n M) \neq 0$ . Indeed motivic cohomology is defined via the image of the projector, hence nonzero. The above remarks show  $\dim M \geq \dim H(M) = \dim H_+(M)$ , and  $H_+(\wedge^n M) = \wedge^n H_+(M)$ , so  $\dim H_+(M) \geq \dim M$ . This shows equality.  $\square$

Conjecture (Kimura, O'Sullivan): Every Chow motive is f.d.

Conjecture  $N(M), N'(M)$ : The ideal  $J_M = \text{Ker}(\text{End}_{\text{rat}}(M) \rightarrow \text{End}_{\text{num}}(M))$  is nilpotent, resp a nil-ideal (every element is nilpotent).

Thm: Kimura + O'Sullivan  $\Rightarrow N(M) \neq$  no phantom motives

Proof

It is a <sup>non</sup>commutative algebra fact that if a nil-ideal has the order of nilpotency unif. bounded, then it is nilpotent. Now let  $f$  be an endomorphism of a f.d. motive which is numerically trivial. We aim to show its nilpotent.

We have  $f = (p_+ + p_-) \circ f \circ (p_+ + p_-) = f_+ + f_- + f_{\text{mix}}$ ,  $f_{\text{mix}}$  does not preserve parity  $\Rightarrow f^r \sim_{\otimes} 0$ ,  $r$  independent of  $f$ . Then expanding  $f^n$ , we get as an average monomial:

$$f_{\pm}^{k_1} \circ f_{\text{mix}}^{\lambda_1} \circ \dots \circ f_{\text{mix}}^{\lambda_i} \circ f_{\pm}^{k_i} \quad i \leq \lambda_1 + \dots + \lambda_r \leq r-1.$$

Since  $f$  (hence  $f_{\pm}$ ) are numerically trivial gives  $f_{\pm}^S = 0$ . Then  $f^n = 0$  for  $n \geq rs$ .

Now recall that Voevodsky's conjecture asks whether a numerically trivial  $f: M \rightarrow M$  is smash nilpotent (note this implies actual nilpotence). We claim this implies Kimura-O'Sullivan. Indeed Voevodsky implies  $D(X)$  ( $\sim_{\text{hom}} = \sim_{\text{num}}$ ), but  $D(X) \Rightarrow A(X, L)$ . If this holds for all  $X$ , we get  $B(X) \Rightarrow C(X)$ . But Voevodsky (by def) implies  $N'(X)$ , and  $C(X)$  implies  $S(X)$  (the sign conjecture we skipped). It is now a theorem of Jannsen that this gives  $\text{ch}(X)$  f.d. for all  $X$ .